

CUSTOMER FEEDBACK AND COMPLAINTS MANAGEMENT POLICY

POLICY ADMINISTRATION

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| Dates | Policy approved 19 July 2022 Policy takes effect upon its approval. Policy is due for review July 2025 |
| Approved by | Executive on 19 July 2022 |
| Exhibition Period | 12 July 2022 – 9 August 2022 |
| Policy Owner | Manager, City Life |
| Related Documents | Customer Feedback and Complaints Management Procedures (in progress) Draft Customer Experience Strategy 2022-2027 |
| Appendices | Appendix A - Complaints covered by legislation or other Council policies |
| References & Legislation | <ul style="list-style-type: none"> • Government Information Public Access (GIPA) Act 2009 • Privacy and Personal Information Protection Act (PPIPA) 1998 • NSW State Records Act 1998 • NSW Local Government Act 1993 • NSW Ombudsman's Office Three Tier Approach to Complaint Handling • NSW Ombudsman's Model Policy and Procedures on Managing Unreasonable Complainant Conduct • NSW Ombudsman's Effective Complaint Handling Guidelines, 3rd Edition, February 2017 |
| Document Identifier | Policy #: Pol-016.004 Doc #: D17/117801 |
| Breaches of Policy | Breaches of any policy will be dealt with and responded to in accordance with adopted codes and/or relevant legislation. |
| Record Keeping | All documents and information obtained in relation to the implementation of this policy will be kept in accordance with the NSW State Records Act 1998, Georges River Council's Corporate Records Policy and adopted internal procedures. |

PURPOSE

This policy establishes a focused Customer Feedback and Complaints Management Framework to enable the handling of all forms of feedback in a consistent, fair, and professional manner.

Georges River Council (Council) is committed to providing excellent customer service and maintaining a healthy relationship with our customers. Acknowledging and actioning feedback provides an opportunity for Council to support its mission to become a leading, people-focused organisation delivering outstanding results for our community and city.

SCOPE

This Policy applies to all customer feedback including compliments, suggestions, comments, and complaints lodged with Council.

When managing a complaint, if it becomes apparent that the complaint involves issues covered under legislation or another Council policy, then the procedures or statutory requirements for the management of that type of issue prevail. A complete list of complaints covered under legislation or other Council policies is attached in Appendix A.

| Term | Meaning |
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| <i>Complaint</i> | <p>A complaint is a form of feedback that expresses dissatisfaction towards Council, its policies, procedures, fees and charges, Council officers, Councillors, agents, or quality of service affecting an individual or group of customers.</p> <ul style="list-style-type: none">• A complaint is not:<ul style="list-style-type: none">○ A Service Request○ A request for Council to exercise a regulatory function○ An appeal or objection regarding a statutory process, standard procedure, or policy (unless this is recorded as a complaint about the process of Council's decision making)○ A request for documentation, information or explanation of policies or procedures○ A response provided in relation to specific requests for feedback about the standard or quality of Council service provision○ An appeal against fines or penalties issued by Council○ Officers or agents○ A claim for compensation, or about legal matters (i.e., appeals) <p>A report about a third party (e.g., a neighbour dispute).</p> <p>Note: Service Requests must not be registered as a complaint unless Council has failed to respond appropriately the first time the service</p> |

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| | request was made, or if the customer specifically complains about the process, Council officer or quality of service provided. |
| <i>Customer Advocate</i> | For the purpose of this Policy, the Customer Advocate is an appointed Council officer responsible for actioning, monitoring and coordinating customer feedback. |
| <i>Feedback</i> | <p>Opinions, comments or expressions of interest or concern, made directly or indirectly to Council about, our services, our staff, policies, or procedures where a response by Council is required.</p> <p>Feedback will be accepted in person, writing or via social media channels.</p> <p>Once feedback is received by Council, it will be assessed and categorised by the Customer Experience Advocate. Feedback can be categorised as either positive feedback, a complaint (meeting our complaints criteria) or general feedback. Feedback may be in the form of a compliment, suggestion, comment, or complaint.</p> |
| <i>Public Interest Disclosure</i> | The reporting of allegations of corrupt conduct, maladministration, serious and substantial waste of public money or government information contravention. |
| <i>Receiving Officer</i> | For the purpose of this Policy, the receiving officer is the Council officer who receives and lodges the customer feedback in the first instance. |
| <i>Service Request</i> | <p>Service Requests include:</p> <ul style="list-style-type: none"> • Requests for approvals • Requests for action • Requests for investigation • Routine inquiries about Council business • Requests for the provision of services and assistance • Requests for explanation of policies, procedures and decisions <p>Reporting issues requiring maintenance</p> |
| <i>Unreasonable customer conduct</i> | Any behaviour by a current or former customer which, because of its nature or frequency raises substantial health, safety, resource or equity issues for Council, its Officers, its service users, or customers, and includes the customer themselves. |

POLICY STATEMENT

Council is committed to upholding our Mission and Values through a customer focused Feedback and Complaints Management Framework.

This Policy will ensure:

- Customers have choice and flexibility in how they wish to provide feedback
- All feedback (including complaints) received is accepted courteously, and with a view to improving services and customer experiences
- Feedback is managed in an objective, fair and transparent manner
- Council officers are equipped with the knowledge, tools, techniques and skills to resolve complaints in a timely manner
- Customers dissatisfied with the outcome of a complaint are provided with clear review options
- Council undertakes continuous improvement with regard to customer interaction.

1. Customer Feedback and Complaints Management Guiding Principles

1.1. Feedback Management Framework

1.1.1. Council has developed a focused Feedback Management Framework. All feedback lodged with Council will be managed consistently and in accordance with this framework.

1.1.2. The Feedback Management Framework outlines Council's approach to managing customer feedback incorporating:

- Customer feedback service standards
- Receiving feedback
- Managing customer feedback and notification of outcomes
- Dealing with unreasonable customer conduct
- Records Management
- Confidentiality and Privacy
- Continuous improvement.

1.2. Customer feedback service standards

1.2.1. Customer feedback will be managed in accordance with the following timeframes:

| Feedback type | Acknowledgement of Receipt | Resolution |
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| Positive Feedback | | |
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| Compliment | Immediately (where lodged verbally) or within 2 business days (where lodged in writing, via email or online) via provision of Customer Request Tracking Number | 10 Business days |
| Suggestion/comment | Immediately (where lodged verbally) or within 2 business days (where lodged in writing, via email or online – including social media channels) via provision of Customer Request Tracking Number | 10 Business days |
| Complaints | | |
| Early Resolution | Immediately (where lodged verbally) or within 2 business days (where lodged in writing, via email or online) via provision of Customer Request Tracking Number | Immediately or maximum of 5 business days |
| Further Investigation | 2 business days in writing (via letter or email) | 10 business days |
| Internal Review | 2 business days in writing (via letter or email) | 10 business days |
| External Review | Subject to reviewing body | Subject to reviewing body |

2. Receiving Feedback

2.1. Accepting feedback

2.1.1. Council will accept feedback lodged by telephone, in person, in writing and electronically. This includes feedback provided by Council's social media channels.

2.2. Acknowledging feedback

2.2.1. All feedback lodged with Council in will be acknowledged within 2 business days by the provision of a Customer Request Tracking number.

2.3. Monitoring feedback

2.3.1. All feedback will be regularly monitored.

2.4. Anonymous feedback

- 2.4.1. Anonymous feedback will be accepted; however, investigations into complaints will only be carried out where there is sufficient information provided to conduct the investigation or review.

3. Managing Customer Feedback and Notification of Outcomes

3.1. Handling positive feedback (compliments, suggestions and comments)

- 3.1.1. Compliments received by Council that relate to a Council officer will be forwarded by the Customer Experience Advocate to the relevant Council officer and their manager for inclusion in the Council officer's next performance appraisal.
- 3.1.2. Compliments received by Council that relate to a Council service or function will be forwarded by the Customer Experience Advocate to the manager of the relevant business area and relayed to their team members.
- 3.1.3. Comments and/or suggestions received by Council will be forwarded by the Customer Experience Advocate to the manager of the relevant business unit for consideration.
- 3.1.4. Customers will be provided with details of the outcome of their feedback by the Customer Experience Advocate, in accordance with the customer's preferred method of contact.

3.2. Three Tier Approach to Complaint Handling

- 3.2.1. Complaints lodged with Council will be managed according to the NSW Ombudsman's Office *Three Tier Approach to Complaint Handling* (refer to Diagram 1).

Diagram 1: Three Tier Complaint Framework for Georges River Council



- a) Early Resolution (first tier) – Our staff will attempt to resolve complaints at the first point of contact. They will be adequately

empowered to resolve complaints quickly and without escalation whenever possible.

- b) Further Investigation (second tier) – When complaints cannot be resolved early or the customer is not satisfied with the outcome of an ‘Early Resolution’ complaint, the matter will be referred to an identified Customer Advocate for further investigation.
- c) Review (third tier) – customers who are not satisfied with the outcome of a complaint dealt with under ‘Early Resolution’ (Tier 1) or ‘Further Investigation’ (Tier 2), may request an internal review or refer their complaint to an external agency for review.
 - *Internal review* – requests for an internal review must be lodged with Council within ten business days of the date of the original determination. The General Manager (or their delegate) will investigate the matter and notify the customer in writing of the outcome of the internal review within ten business days of receiving the request.
 - *External review* – if a complaint cannot be resolved through internal processes, the customer may refer their complaint to an external agency where it will be handled in accordance with that agency’s policies and processes.

4. Unreasonable Customer Conduct

4.1. Managing unreasonable customer conduct

- 4.1.1. Council will take proactive and decisive action to manage any conduct that negatively or unreasonably affects Council officers and will support all Council officers to do the same in accordance with this Policy.
- 4.1.2. Council will manage unreasonable customer conduct in accordance with Customer Feedback and Complaints Management Procedures and the NSW Ombudsman’s *Model Policy and Procedures on Managing Unreasonable Complainant Conduct*.
- 4.1.3. Council recognises the categories of unreasonable customer conduct listed in the NSW Ombudsman’s *Model Policy on Unreasonable Customer Conduct* to include:
 - Unreasonable persistence
 - Unreasonable demands
 - Unreasonable lack of cooperation
 - Unreasonable arguments

- Unreasonable behaviours.

4.1.4. Where a customer's conduct is considered unreasonable, Customer Advocates should first issue a warning letter to the customer requesting the behaviours to cease. If the behaviours continue, Council officers can request an *Unreasonable Customer Conduct Declaration* to be issued by the General Manager.

** A warning letter may not be required in extenuating circumstances. Council officers may request the General Manager to consider an *Unreasonable Customer Conduct Declaration* without a warning letter being issued.

4.1.5. The General Manager may consider *Unreasonable Customer Conduct Declarations* made by Council officers and authorise limitations or adaptations to how a customer may interact with Council where the conduct of that customer has adversely affected:

- The health and safety of an employee of Council
- The efficiency of service delivery and/or
- The equity and fairness in the allocation of Council Resources.

4.1.6. Where the General Manager authorises limitations or adaptations to how a customer may interact with Council in result of an *Unreasonable Customer Conduct Declaration*, the limitations or adaptations may include:

- Who the customer can contact within Council
- What issues they can raise with Council
- When the customer can contact Council
- Where the customer is able to make contact with Council and/or how they make contact with Council.

4.1.7. An *Unreasonable Customer Conduct Declaration* can only be authorised or revoked by the General Manager and must be undertaken in accordance with the Customer Feedback and Complaints Management Procedures.

5. Records Management

5.1. Recording and registering customer feedback

5.1.1. The Customer Advocate is deemed to be the Receiving Officer and are responsible for ensuring:

- a) Complaints are to be lodged in Council's Corporate Property & Rating system – Application Wizard.
- b) All feedback is logged in their category in Council's CI Anywhere system.

6. Confidentiality and Privacy

6.1. Protection of privacy

- 6.1.1. Georges River Council will respect and protect the identity of people making complaints and persons subject of a complaint where this is practical and appropriate.

6.2. Access to information

- 6.2.1. It should be noted that members of the public can make an application to Council to access documents (including complaints) in accordance with the Government Information

Public Access (GIPA) Act 2009 and the Privacy and Personal Information Protection Act (PPIPA) 1998. Council may also be legally required to disclose information about complaints, for example, in response to a Court Order or Subpoena.

7. Continuous Improvement

7.1. Reporting and analysis

- 7.1.1. Council Officer will analyse complaint data, monitor trends and quality of customer experience in relation to managing customer feedback. Information from these reports will be used to inform improvement activities and as appropriate escalated to the Executive by the Customer Advocate and /or the Manager City Life.
- 7.1.2. Customers will be encouraged to provide feedback on their experiences and interactions with Council.

RESPONSIBILITIES

| Position | Responsibility |
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| Mayor and Councillors | <ul style="list-style-type: none"> • Support a culture that values feedback including the recognition of compliments and the effective resolution of complaints. • The Mayor and Councillors can assist individuals who approach them with feedback about Council by referring them to Council’s Customer Advocates so that their feedback can be logged and actioned. • The Mayor and Councillors are prohibited from getting involved in the day-to-day operations and management of feedback in accordance with this Policy and Feedback Procedures (unless the feedback relates specifically to the General Manager) • Encourage customers to discuss or lodge their complaint with Council in the first instance. |
| General Manager | <ul style="list-style-type: none"> • Promote and support a culture that values feedback including the recognition of compliments and the effective resolution of complaints. • Recognise occasions where Council officers have exceeded both the customer and Council’s expectations. • Investigate complaints about the Mayor, Councillors and Directors as appropriate. • Actively resolve complaints at first contact whenever possible. • Publicly report on complaints. • Consider, authorise and/or revoke Declarations for Unreasonable Customer Conduct. |
| Executive Team | <ul style="list-style-type: none"> • Promote and support a culture that values feedback including the recognition of compliments and the effective resolution of complaints. • Recognise Council officers who have received compliments for exceeding both customer and Council expectations. • Review data on feedback provided by the Customer Advocate and endorse suggested organisational improvements to avoid reoccurrence of complaints in the future. • Refer results of feedback data to appropriate Council |

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| | <p>Committees as required to assist in continuous improvement, reduce risk and/or improve the quality of customer service.</p> |
| <p>Executive Assistants, Administration Officers</p> | <ul style="list-style-type: none"> • Explain Council’s customer feedback framework to customers who enquire about lodging customer feedback. • Register complaints received in Council’s Property and Rating System – Application Wizard and Record Management System. • Resolve complaints at the first point of contact or where this is not possible; refer to the complaint to the Customer Advocate for review |
| <p>Directors, Managers, Coordinators & Team Leaders</p> | <ul style="list-style-type: none"> • Investigate feedback referred by the Customer Advocates within agreed service standard timeframes. • Provide Council officers with positive feedback where relevant. • In cases where a compliment is found to have exceeded both the customer and Council’s expectations, escalate the feedback to the General Manager. • Work with Customer Advocates to resolve complaints as quickly as possible. • Actively resolve complaints at first contact whenever possible. • Implement suggested organisational improvement projects to avoid reoccurrence of complaints in the future. |

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| Customer Advocate/s | <ul style="list-style-type: none"> • Establish, manage, coordinate and report on customer feedback. • Register customer complaints and feedback into Council's Property and Rating System – Application Wizard, Record Management System and CI Anywhere System. • Refer compliments to relevant Council officers and their Coordinators and Managers. • Issue acknowledgment and notification of outcome correspondence to customers. • Actively resolve complaints at first contact whenever possible. • Make decisions about complaints within the service standard timeframes |
| All Council officers | <ul style="list-style-type: none"> • Actively resolve complaints at first contact whenever possible or if not possible, refer to the Customer Advocate for review. • Log and register feedback in Council's Property and Rating System – Application Wizard, Record Management System and CI Anywhere System. • Implement the Customer Feedback and Complaints Management Procedures |

VERSION CONTROL AND CHANGE HISTORY

| Version | Amendment Details | Policy Owner | Period Active |
|---------|---|-----------------------|-------------------------|
| KCC | Former Kogarah Council Complaint Policy discontinued | Kogarah Governance | 23/05/2016 – 02/07/2017 |
| HCC | Former Hurstville Council Complaint Policy - discontinued | Hurstville Governance | 01/11/2013 – 02/07/2017 |

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| 1.0 | Complete new Georges River Council Complaints Policy (Council Resolution CCL137-17) | Manager Communications and Customer Service | 03/07/2017 – 18/12/2017 |
| 2.0 | Customer Feedback Management Policy – includes a change to the Policy title and content to enable a comprehensive approach to ensuring the efficient and consistent handling of all customer feedback, not just complaints. | Manager Communications and Customer Service | 19/12/2017 – 11/03/2018 |
| 3.0 | Minor administrative change following on from ET resolution on 19/12/17 - Additions of the word “Complaints” to the Policy Title and elsewhere in the policy to enable consistency with the amended title - to ensure customers can locate the policy on Council’s website. | Manager Communications, Customer Service and Events | 12/03/2018 – 19/7/2019 |
| 4.0 | Minor change to clause 4.1.4, allowing two options when dealing with difficult customers. Endorsed as per email | Manager City Life | 19/7/2019 |

received by Rebekah
Schulz 19/7/2019

APPENDIX A: COMPLAINTS COVERED BY COUNCIL POLICIES OR LEGISLATION

| Complaint/issue | Responsibly for investigation | Relevant Policy or Legislation |
|-------------------------------|---|--|
| Councillor conduct/misconduct | The General Manager | <ul style="list-style-type: none">• Georges River Council Model Code of Conduct 2022• Georges River Council's Councillor and Staff Interactions Policy 2022 |
| Staff conduct/misconduct | <ul style="list-style-type: none">• The General Manager• Manager of People & Culture• The Mayor (if complaint is about the General Manager) | <ul style="list-style-type: none">• Code of Conduct 2022• Georges River Council's Councillor and Staff Interactions• Policy 2022 |
| Public Interest Disclosures | Internal Auditor The General Manager | <ul style="list-style-type: none">• Public Interest Disclosures act 1994• Georges River Council Public Interest Disclosure Reporting• Policy 2020 |
| Privacy breaches | Manager Governance & Risk | <ul style="list-style-type: none">• Privacy and Personal Information Protection Act 1998 |

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| Alleged child abuse or breaches of child protection legislation | <ul style="list-style-type: none"> • The General Manager • Manager of People & Culture and • Manager Community and Early Learning Services | <ul style="list-style-type: none"> • <i>NSW Children and Young Persons (Care and Protection) Act 1998 and associated legislation</i> • <i>Education and Care Services National Regulations 2011</i> • <i>Georges River Council – A Child Safe Organisation 2022</i> |
| Competitive neutrality | Chief Financial Officer and Manager of Governance & Risk | <ul style="list-style-type: none"> • <i>Various legislation and regulations</i> |
| Staff grievances | Manager of People and Culture | <ul style="list-style-type: none"> • <i>Grievance and Complaint Resolution Administration Policy 2017</i> |